

Recipient Commitment Form

Each Recipient will complete this form when submitting a proposal to Oklahoma State Regents for Higher Education (State Regents) Summer Academies for Science, Technology, Engineering, and Mathematics program. This form provides a checklist of documents and certifications required by grant recipients, as well as an area for the authorized institutional representative to sign. Incomplete forms will disqualify proposals from consideration.

Recipient Legal Name: _____

Recipient Unique Entity Identifier (or DUNS# if no UEI): _____

Proposal Title: _____

Recipient Total Funds Requested: _____

Recipient Period of Performance: BEGIN: _____ END: _____

The following documents are included in our award proposal submission and covered by the certifications below: _____ Proposal (*required*) _____ Budget and Budget Justification (*required*)

1. Institutional Grant Policy

_____ Our institution abides by a current official grant policy. The policy is located at _____ and was updated on (date) _____. (If the policy is not publicly available online, a copy of it must be furnished in addition to this form.)

2. Human Participants

_____ Yes _____ No If *yes*, indicate the status of IRB Review (must be current):

Pending or Date IRB determined research to be exempt or approved: _____ IRB Number: _____

If *yes* and NIH funding is involved:

_____ Yes _____ No Have all key personnel involved completed Human Subjects Training?

3. Animal Subjects

_____ Yes _____ No If *yes* indicate the status of IACUC Review (must be current):

Pending or Approval Date: _____ IACUC#: _____

4. Conflict of Interest

_____ Recipient hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research."

_____ Recipient also certifies that, to the best of Institution's knowledge, all financial disclosures have been made related to the activities that may be funded by or will have been satisfactorily managed, reduced or eliminated in accordance with recipient's conflict of interest policy prior to the expenditures of any funds under any resultant agreement.

5. Responsible Conduct of Research

_____ Recipient organization/institution hereby certifies that it will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this proposal will be trained in the responsible and ethical conduct of research.

6. Cost Sharing/Matching/In-Kind

Yes No Amount: _____
(Cost sharing, Matching, and/or In-Kind amounts and justification shall be included in the recipient's budget.)

7. Certification Regarding Debarment and Suspension

Yes No Is the Recipient Organization, Director or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal department, agency, assistance programs or activities? (If yes, please explain in Comments section below.)

8. Export Control

Recipient is individually responsible for maintaining its compliance with federal export laws and procedures.

By checking this box, Recipient certifies that an export control officer, or other authorized person, has reviewed the Recipient's proposal for compliance with federal export control laws. (Explain potential problems in the comments section below.)

N/A

9. Nondiscrimination

Recipient will comply with all state and federal laws relating to nondiscrimination. Those include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

10. Mandatory Violation Disclosures

Recipient is individually responsible for a procedure for disclosing *in a timely manner, in writing to the State Regents all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting this award.*

11. Fiscal Responsibility

Yes No Recipient certifies that its financial system is in accordance with generally accepted accounting principles.

Yes No Recipient has the capability to identify, in its accounts, all awards received and expended and the programs under which they were received.

Yes No Recipient maintains internal controls to assure that it is managing awards in compliance with applicable laws, regulations and the provision of contracts or grants.

Yes No Recipient complies with applicable laws and regulations.

Yes No Recipient can prepare appropriate financial statements, including the schedule of expenditures of awards.

Yes No There are no outstanding audit findings which would impact contract costs. If there are findings, submit a copy of the most current report that describes the finding and steps to be taken to correct the finding.

Comments:

Approved by Recipient:

The information, certifications, and representations above have been read, signed and made by an authorized official of the recipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of sponsor policy in regard to awards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of an award agreement are at the recipient's own risk.

Signature of Institutional President or Designee Date

Printed name of Institutional President or Designee

Please upload a signed PDF copy to the online proposal submission form.